

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

PCT/US-2570

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

To Be Assigned

09/051547

INTERNATIONAL APPLICATION NO.

PCT/JP97/02696

INTERNATIONAL FILING DATE

01 AUG 1997 (01.08.97)

PRIORITY DATE CLAIMED

see attached

TITLE OF INVENTION

PICTURE AND SOUND DECODING APPARATUS, PICTURE AND SOUND CODING APPARATUS, AND
INFORMATION TRANSMISSION SYSTEM

APPLICANT(S) FOR DO/EO/US

Takao YAMAGUCHI, Akira KAMOGAWA, Kunio NOBORI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, 37 CFR 1.5) To Be Assigned	INTERNATIONAL APPLICATION NO. PCT/JP97/02696	ATTORNEY'S DOCKET NUMBER MTS-2570
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20. The following fees are submitted:
- BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**
- ☒ Search Report has been prepared by the EPO or JPO **\$930.00**
 - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) **\$720.00**
 - ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) **\$790.00**
 - ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$1,070.00**
 - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) **\$98.00**

CALCULATIONS PTO USE ONLY	

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$930.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	33 - 20 =	13	x \$22.00	\$286.00	
Independent claims	7 - 3 =	4	x \$82.00	\$328.00	

Multiple Dependent Claims (check if applicable). ☒

\$270.00

TOTAL OF ABOVE CALCULATIONS =

\$1,814.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00

SUBTOTAL =

\$1,814.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

TOTAL NATIONAL FEE =

\$1,814.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

\$40.00

TOTAL FEES ENCLOSED =

\$1,854.00

Amount to be: refunded	\$
charged	\$

☒ (2) checks in the amount of **\$1,584.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **18-0350** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Allan Ratner
Ratner & Prestia
P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

SIGNATURE

Allan Ratner

NAME

19,717

REGISTRATION NUMBER

07 April 1998

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): T. Yamaguchi et al.

Docket No.

MTS-2570

Serial No.

To Be Assigned

Filing Date

Herewith

Examiner

Group Art Unit

Invention: **PICTURE AND SOUND DECODING APPARATUS, PICTURE AND SOUND CODING APPARATUS, AND INFORMATION TRANSMISSION SYSTEM**

I hereby certify that this PCT National Stage Application with form PTO-1390 and its enclosures

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

on 07 April 1998

(Date)

Lynn A. Pentz

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL042565198US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.